

The image features a background of abstract, flowing blue lines and shapes that create a sense of movement and depth. The lines vary in opacity and color, ranging from light blue to a deeper, more saturated blue. The overall effect is clean, modern, and professional.

**PACIFIC**  
ALLIANCES

INVESTMENT ACCOUNT  
OPENING FORM



## INVESTING

Pacific Alliances are your financial consultants and specialists.

Our services include:

- Individual client attention
- Worldwide securities trading
- Pre-IPO and IPO stage investment opportunities
- Research and performance analysis
- Offshore investment and banking

Pacific Alliances also provides asset management and investment advisory services

Our target market on investing is restricted to individuals and institutional investors with a minimum investment of US\$10,000, which can be flexible depending on circumstances and our consultants' discretion.

## **ACCOUNT OPENING REQUIREMENTS**

1. Duly completed Investment Account Opening form.
2. Photocopy of identification of signatories to the account, with their names and signature clearly visible.
3. Identification of signatures to be used: International passport, driver's licence or national ID card.
4. Photocopy of public utility receipt, tax clearance certificate, electricity bill, water bill or telephone bill bearing current address and dated within the last six months.
5. Signed counter-party agreement.
6. Signed Know Your Customer form.

### **Additional requirements for Corporate Accounts:**

1. Certificate of Incorporation
2. Memorandum and Articles of Association (certified true copy) by the Registrar of Companies and a director of the company.
3. Form CO2 CAC certified true copy.
4. Form CO7 CAC certified true copy.
5. Board resolution appointing Thomas Wilkes as the (or one of) company's financial consultants/fund managers and including names and all signatories to the account and directors of the company in attendance. This must be executed under company seal.



## INVESTMENT ACCOUNT OPENING FORM

### Individual/Corporate Account

Surname/Company Name: \_\_\_\_\_

Other names (for individual applicants only): \_\_\_\_\_

Sex: Male [ ] Female [ ] Date of birth: \_\_\_\_\_ Marital Status \_\_\_\_\_

Date of incorporation: \_\_\_\_\_ Incorporation number: \_\_\_\_\_

Nationality: \_\_\_\_\_

Contact address (not PO Box): \_\_\_\_\_

\_\_\_\_\_

Postal address: \_\_\_\_\_

Telephone number: \_\_\_\_\_ Fax: \_\_\_\_\_ Email: \_\_\_\_\_

Identification (please pick one): (1) Driver's Licence [ ] (2) Passport [ ] ID card [ ]

Mobile number: \_\_\_\_\_ Occupation/Profession: \_\_\_\_\_

Employer's name/Nature of business: \_\_\_\_\_

Would you like to have online access to your account: Yes [ ] No [ ]

Would you like to have our market reports sent to your mailbox: Yes [ ] No [ ]

### Joint Application

Surname: \_\_\_\_\_ Other names: \_\_\_\_\_

Telephone (mobile): \_\_\_\_\_ Contact daytime: \_\_\_\_\_

### Next of Kin Information

Name: \_\_\_\_\_ Relationship: \_\_\_\_\_

Contact Address: \_\_\_\_\_

\_\_\_\_\_

### Investment details:

Initial investment (USD, Euro, GBP): \_\_\_\_\_

Mode of investment: All funds are required to be sent by Telegraphic Transfer or similar depending on the sending bank.

\_\_\_\_\_  
Authorised signatory

\_\_\_\_\_  
Authorised signatory

\_\_\_\_\_  
Date



## COUNTER-PARTY AGREEMENT

I/We \_\_\_\_\_ hereby authorise Pacific Alliances herein referred to as the "Company" to dispose sell or charge any asset(s) that may be held by the Company on my/our account to liquidate or offset and indebtedness or liability due from me/us to the Company and I/we hereby agree and shall at all times keep the Company and any of its officers fully indemnified against any loss, claims, liabilities, expenses and costs (including legal costs) which may be incurred or suffered by the Company arising out of or in connection with any transaction entered into by the company on my/our behalf.

My/our undertaking herein shall be irrevocable until any/and or all obligations for me/us to the Company would have been fully settled.

Full names/company name: \_\_\_\_\_

Signature: \_\_\_\_\_ Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Please apply seal where applicable.



## KNOW YOUR CUSTOMER (KYC)

Full name: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Postcode: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

We, Pacific Alliances, have in place policies and procedures for the prevention of money laundering and terrorist financing in accordance with the latest anti-money laundering regulations. Our procedures on Know Your Customer and Customer Due Diligence are applied to all wholesale and retail customers who are, without exception, required to submit appropriate identification as stipulated within the aforementioned regulations before utilising our services. All new clients are screened against the relevant list(s) of Sanctioned Persons and Politically Exposed Persons in order to establish their bona fide status.

In addition, procedures are in place to provide compliance training to all staff once a year on prevention of money laundering and terrorist financing. All staff are obligated to report unusual or suspicious transactions to the relevant security organisations.

We have a dedicated Compliance Officer who is responsible for co-ordinating all anti-money laundering and anti-terrorist financing procedures, including the monitoring of transactions and the reporting of suspicious transactions to the relevant authorities.

Please sign below to confirm you have read and understood the information above. Your signature must match with your passport signature on your proof of ID.

Signature: \_\_\_\_\_



## CHECKLIST

#	Document Obtained	Attached (Y/N) & Comments
1	Identification (one of the following)	
	a) International passport	
	b) Driver's Licence	
	c) National Identification Card	
2	Public utility receipt/invoice	
3	Signed KYC form	
4	Mandate (corporate)	
5	Form CO2 and CO7 (corporate)	
6	Memorandum and Articles of Association	
7	Board Resolution for Account Opening	